

RBC Case Studies

Routes to People

Financial Regulatory Compliance

Industry Sector:

Financial services

Services:

Mentoring, strategic planning, technical authentication of IP

Problem:

Client with wealth of experience in the compliance arena who had developed a unique program to manage the difficult, varied and ever changing rules regarding financial trading. Unsure of how to bring the idea to market and had already had bad experiences with other advisors..

Brief:

To assist in deciding the best strategic direction, define routes to market and use RBC associates and connections to give a “tick in the box” for the product

Outcome/Solution:

Through our network of Associates and high level compliance professionals RBC was able to give the client the comfort that the product was technically sound and something that would be a much sought after solution for financial service companies compliance teams. This is an ongoing project.